

MAINFIRST



LIST OF APPROVED BROKERS

MAINFIRST AFFILIATED
FUND MANAGERS S.A.

01.2023

List of approved Brokers

LEI	Broker Name
2138005DRCU66B8BNY04	ABG Sundal Collier ASA
213800RBM8VDZ3I3VP26	ARQAAM CAPITAL LIMITED
549300PER2ICSDPP2R94	Atlantic Equities Deutschland GmbH
JHE42UYNWWTJB8YTTU19	Australia and New Zealand Banking Group Limited
529900IOG1ENLW4SUU53	B. Metzler seel. Sohn & Co. Kommanditgesellschaft auf Aktien
529900JFOPPEDUR61H13	Baader Bank Aktiengesellschaft
K8MS7FD7N5Z2WQ51AZ71	BANCO BILBAO VIZCAYA ARGENTARIA SOCIEDAD ANONIMA
5493006QMFDDMYWIAM13	Banco Santander, S.A.
213800L2NNQOBXPF1425	BancTrust Investment Bank Limited
PNWU8O0BLT17BBV61Y18	Bank Julius Bär & Co. Ltd.
5493008LFIYZKT5TJ445	Bank J. Safra Sarasin Ltd.
635400FXWAHS7F7CL490	Barclays Bank Ireland PLC, France Branch
VDYMYTQGZZ6DU0912C88	Bayerische Landesbank
2549006Q2SCXK1988H68	BCP Securities, LLC
213800UU8AHE2B6QUI26	BCS Prime Brokerage Limited
213800LBM6PT85IGM996	Bernstein Autonomous LLP
ZWNFQ48RUL8VJZ2AIC12	BGC Brokers L.P.
R0MUWSFPU8MPRO8K5P83	BNP PARIBAS Deutschland
549300HN4UKV1E2R3U73	BofA Securities, Inc. "BofAS"
549300FH0WJAPEHTIQ77	BofASecurities Europe SA
213800VGFKO4K5IJUI20	bridport & cie sa
549300EUEDL5GKQW8U35	BTG Pactual US Capital, LLC
213800YZXS7U4QZP6441	BTIG LIMITED
WTX5X269IUOQ9YMI7R44	Canaccord Genuity LLC
549300KM6VUHPKQLQX53	CANTOR FITZGERALD EUROPE
6TJCK1B7E7UTXP528Y04	Citigroup Global Markets Europe AG
3800DPWK1AXSAJZM30	CLSA Europe B.V.
851WYGNLUQLFZBSYGB56	COMMERZBANK Aktiengesellschaft
MSFSBD3QN1GSN7Q6C537	Commonwealth Bank of Australia
DG3RU1DBUFHT4ZF9WN62	Coöperatieve Rabobank U.A.
1VUV7VQFKUOQSJ21A208	CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK
ANGGYXNX0JLX3X63JN86	Credit Suisse AG
DL6FFRRLF74S01HE2M14	Credit Suisse Securities (Europe) Limited
MAES062Z21O4RZ2U7M96	Danske Bank A/S
ATUEL7OJR5057F2PV266	DBS Bank Ltd.
0W2PZJM8XOY22M4GG883	DekaBank Deutsche Girozentrale
7LTFWFZYICNSX8D621K86	Deutsche Bank Aktiengesellschaft (Frankfurt)

LEI	Broker Name
549300GKFG0RYRRQ1414	DNB Bank ASA
213800A5US82UY9H485	DRAGON CAPITAL (CYPRUS) LIMITED
529900HNOAA1KXQJUQ27	DZ BANK AG Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main
SVY0KHTJZBP60K295346	DZ PRIVATBANK S.A.
PQOH26KWDF7CG10L6792	Erste Group Bank AG
969500UP76J52A9OXU27	Exane S.A.
549300CLJ9XDH12XV51	Flow Traders B.V.
529900WG7A1YR02QGB25	futurum bank AG
8IBZUGJ7JPLH368JE346	Goldman Sachs Bank Europe SE
W22LROWP2IHZNBB6K528	GOLDMAN SACHS INTERNATIONAL
GDI8P8WHFH4PS5YTU851	HAITONG BANK, S.A.
529900F5KTT6ZUPA8N40	Hamburger Sparkasse AG
529900OOZP78CYPYF471	Hauck & Aufhäuser Privatbankiers Aktiengesellschaft
F0HUI1NY1AZMJMD8LP67	HSBC Continental Europe
JUNT405OW8OY5GN4DX16	HSBC TRINKAUS & BURKHARDT AG
F01VVKN4DRF2NWKQGQ283	ICBC Standard Bank PLC
529900E89HA1I45HB613	ICF BANK AG Wertpapierhandelsbank
213800L9V84UL88WAV68	IMPERIAL CAPITAL (INTERNATIONAL) LLP
3TK20IVIUJ8J3ZU0QE75	ING Bank N.V.
2W8N8UU78PMDQKZENC08	Intesa Sanpaolo S.p.A. (vorher: Banca IMI)
5493009ADHYKU8V9P697	Itau BBA International PLC
549300ZK53CNGEEI6A29	J.P. MORGAN SE
549300Q5VVMOFZGJNF15	J.P. Morgan Dublin plc
549300ZHEHX8M31RP142	JANE STREET FINANCIAL LIMITED
5493004I3LZM39BWHQ75	Jefferies GmbH
S5THZMDUJCTQZBTRVI98	JEFFERIES INTERNATIONAL LIMITED
529900UC2OD7II24Z667	Joh. Berenberg, Gossler & Co. KG
9695005EOZG9X8IRJD84	KEPLER CHEUVREUX
2138002L319H1ZIHGN15	Kotak Mahindra (UK) Limited
B81CK4ESI35472RHJ606	Landesbank Baden-Württemberg
DIZES5CFO5K3I5R58746	Landesbank Hessen-Thüringen Girozentrale
54930031WU1KNWNY7T88	Larrain Vial S.A. Corredora de Bolsa
2138005N5TO2UJR67I36	Liquidnet EU Limited
529900CTXON8S5AOCB70	MarketAxess Capital Limited
5299000UUYW66L5LT560	MarketAxess NL B.V.
213800ZXJ3S6VKHTX31	MITSUBISHI UFJ TRUST INTERNATIONAL LIMITED
213800G8QEXN34A2YG53	Mizuho Securities Europe GmbH's
MZI1VDH2BQLFZGLQDO60	M.M.Warburg & CO (AG & Co.) Kommanditgesellschaft auf Aktien
54930056FHWP7GIWYY08	MORGAN STANLEY Europe SE
54930050SE0SM7CM2G07	MUFG Securities (Europe) NV

LEI	Broker Name
X3CZP3CK64YBHON1LE12	NatWest Markets N.V.
5493002XYZZ0CGQ6CB58	Nomura Financial Products Europe GmbH
DSNHHQ2B9X5N6OUJ1236	Norddeutsche Landesbank -Girozentrale-
6SCPQ280AIY8EP3XFW53	Nordea Bank Abp
9695002I9DJHZ3449O66	ODDO BHF SCA
213800CH6IZ9J98PIS59	Oppenheimer Europe Ltd
7245009KRY SAYB2QCC29	Optiver VOF
549300H0QDQHRJXRM779	Pareto Securities AS
5299004IU009FT2HTS78	Quirin Privatbank AG
549300SXSTGQY3EA1B18	RBC Capital Markets (Europe) GmbH
213800RZ3GCUXMBGYN59	Renaissance Capital Limited
2138006B1AY18VCA6K15	REUSS PRIVATE AG
549300772UJAHRD6LO53	Robert W. Baird & Co. Incorporated
254900S5OKNBNULX1O50	SC Lowy Financial (HK) Limited
5G6NVP4WADOI32VUUB17	Scotiabank Europe Plc
54930028D6D0G5RZJ888	Seaport Global Securities LLC
2138003MLD2U3GEMHS70	Seaport Group Europe LLC
254900BWIMJ4JS1FDK25	Seminario y Cia SAB
549300OBW7CMVSEYXY30	Skandinaviska Enskilda Banken AB (publ) Frankfurt Branch
9676007O0UF5YB3QPR03	SMBC Bank EU AG
G7WFA3G3MT5YHH8CHG81	SMBC NIKKO CAPITAL MARKETS LIMITED
O2RNE8IBXP4R0TD8PU41	SOCIETE GENERALE
RILFO74KP1CM8P6PCT96	STANDARD CHARTERED BANK
213800BVEFNZ8UYPKL03	STIFEL NICOLAUS EUROPE LIMITED
549300E5F07FE5GHJX84	STIFEL, NICOLAUS & COMPANY, INCORPORATED
549300AWF3TOHRYL7754	StoneX Financial Ltd
52990087IL4WM7TO8668	SÜDWESTBANK Aktiengesellschaft
635400IAV22ZOU1NFS89	Susquehanna International Securities Limited
M312WZV08Y7LYUC71685	Swedbank AB
213800SCELOUHKL5AP84	Swiss Investment Corporation Limited
F16C7E5PBUB3F9K43B44	TD Global Finance
L3I9ZG2KFGXZ61BMYR72	The Bank of Nova Scotia, London Branch
BFM8T61CT2L1QCEMIK50	UBS AG
549300WOIFUSNYH0FL22	UBS Switzerland AG
2ZCNRR8UK83OBTEK2170	UniCredit Bank AG
213800EEC95PRUCEUP63	Virtu ITG Europe Limited
549300AQWOOOF7S8JFF80	Wells Fargo Securities Europe SA
39120043LUI1WJS8IX30	Wolfgang Steubing AG Wertpapierdienstleister
549300HDFLS7P433PO89	XP Investments US, LCC

LEI	Broker Name
165GRDQ39W63PHVONY02	Zürcher Kantonalbank

(Status 23.01.2023, the list can be adapted from time to time and is available at the registered office of the company or/and may be obtained free of charge)