

MAINFIRST



SUMMARY OF INVESTOR RIGHTS

MAINFIRST AFFILIATED
FUND MANAGERS S.A.

11.2021

Version 1.0

Summary of investor rights

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Within the meaning of Article 4(3) of Regulation (EU) 2019/1156 of the European Parliament and of the Council of 20 June 2019 to facilitate the cross-border marketing of collective investment undertakings and amending Regulations (EU) No 345/2013, (EU) No 346/2013 and (EU) No 1286/2014.

1 Entitlement to receive certain information relating to your investment in the Fund

As a Investor of the fund, a copy of the latest annual and a semi-annual financial statements of the Fund will be available to you on www.mainfirst.com and a copy of such reports shall be provided to you on request and free of charge by contacting MainFirst Affiliated Fund Managers S.A.

The latest Net Asset Value per Share is also available from www.mainfirst.com and www.bloomberg.com and on request from MainFirst Affiliated Fund Managers S.A..

The current prospectus of the Fund shall also be available from MainFirst Affiliated Fund Managers S.A., a paper copy of which will be delivered to you on request and free of charge.

Material changes to certain information contained in the prospectus provided to you before you invested in the Fund shall be notified to you in accordance with applicable regulatory requirements.

2 Voting rights and related matters

Save to the extent that a Investor has chosen to invest in “Shares” of a SICAV-Fund-Structure a Shareholder has the right to vote (whether in general meeting or, where so permitted under the Articles of Association, by way of a written resolution) on shareholder resolutions relating to the Fund, relevant Sub-Fund or Class in accordance with the conditions set down in the Fund prospectus and Article of Association.

3 Complaints Management

MainFirst Affiliated Fund Managers S.A. is committed to providing the best possible service to investors in the funds it manages (“Investors”) at all times. However, if investors are not satisfied, they have the right to file a complaint. For this purpose, MainFirst Affiliated Fund Managers S.A. has established a complaints Management with the aim of ensuring that complaints are handled transparently and promptly.

Corresponding further information on the complaint management can be found in the document on complaint management published on the website www.mainfirst.com.

To enforce their rights, investors may take legal action before the ordinary courts or, if such a court is available, also initiate a procedure for alternative dispute resolution.

4 Out-of-court settlement of disputes

Investors have the right to submit a request for out-of-court settlement of a complaint to the competent supervisory authority, the Commission de Surveillance du Secteur Financier (CSSF). (see: <https://www.cssf.lu/de/kundenbeschwerden/>)

5 Consumer arbitration body

MainFirst Affiliated Fund Managers S.A. has committed to participate in dispute resolution procedures before a consumer arbitration board.

In case of disputes, consumers may call upon the "Ombudsstelle für Investmentfonds" of the BVI Bundesverband Investment and Asset Management e.V. as the competent consumer arbitration board. MainFirst Affiliated Fund Managers S.A. participates in dispute resolution proceedings before this arbitration board.

The contact details of the "Ombudsstelle für Investmentfonds" are:

Office of the BVI Ombudsman
Federal Association of Investment and Asset Management e.V.
Unter den Linden 42 10117 Berlin
Telephone: 030 6449046-0
Fax: 030 6449046-29
E-mail: info@ombudsstelle-investmentfonds.de
www.ombudsstelle-investmentfonds.de

Consumers are natural persons who invest in the fund for a purpose that can predominantly be attributed neither to their commercial nor to their self-employed professional activity, i.e. who act for private purposes.

6 EU online dispute resolution platform

In the event of disputes in connection with sales contracts or service contracts concluded electronically, consumers may also turn to the EU's online dispute resolution platform (www.ec.europa.eu/consumers/odr).

The following e-mail can be given as the contact address of MainFirst Affiliated Fund Managers S.A. in this regard: info@mainfirst.com.

The platform is not itself a dispute resolution body, but merely puts the parties in contact with a competent national dispute resolution body.

The right to appeal to the courts remains unaffected by a dispute resolution procedure.

7 Right of withdrawal pursuant to §305 KAGB (German Investment Code/Kapitalanlagegesetzbuch)

If the purchaser of units of an open-ended investment fund has been determined by oral negotiations outside the permanent business premises of the person who sold the units or brokered the sale to make a declaration of intent to purchase, he shall only be bound by this declaration if he does not revoke it in text form within a period of two weeks with the

management company or a representative within the meaning of § 319 KAGB; this shall also apply if the person who sold the units or brokered the sale has no permanent business premises. In the case of distance selling transactions, §312g (2) Satz 1 Nummer 8 BGB (German Civil Code) shall apply mutatis mutandis.

Timely dispatch of the notice of revocation shall be sufficient to comply with the time limit. The revocation period shall not commence until the copy of the application for conclusion of the contract has been handed over to the Purchaser or a purchase invoice has been sent and the copy or the purchase invoice contains information on the right of revocation which meets the requirements of Article 246 (3) Satz 2 and 3 of the Introductory Act to the German Civil Code (Einführungsgesetz BGB). If the beginning of the period is disputed in accordance with §305(2) Satz 2 of the KAGB, the burden of proof shall be on the seller.

The right of revocation shall not apply if the Seller proves that

1. the purchaser is not a consumer within the meaning of §13 BGB, or
2. he has visited the purchaser for the negotiations leading to the sale of the units on the basis of a prior appointment pursuant to § 55 (1) GewO (German Trade, Commerce and Industry Regulation Act).

If the revocation has been made and the purchaser has already made payments, the management company shall be obliged to pay the purchaser, if necessary concurrently with the retransfer of the purchased units, the costs paid and an amount corresponding to the value of the units paid for on the day following receipt of the notice of revocation.

The right of revocation may not be waived.

The above provisions on the right of revocation concerning the purchase of investment units shall apply mutatis mutandis to the sale of units by the investor. Within the meaning of Article 4 (3) of Regulation (EU) 2019/1156 of the European Parliament and of the Council of 20 June 2019 to facilitate the cross-border marketing of collective investment undertakings and amending Regulations (EU) No. 345/2013, (EU) No. 346/2013 and (EU) No. 1286/

8 Cancellation of cross-border distribution

Funds of MainFirst Affiliated Fund Managers S.A may have been notified for distribution in various EU member states. Investors are advised that MainFirst Affiliated Fund Managers S.A may decide to cancel the arrangements it has made for the distribution of the units of its collective investment undertakings in accordance with Article 93a of Directive 2009/65/EC and Article 32a of Directive 2011/61/EU.

9 Model declaratory action

In case of disputes with MainFirst Affiliated Fund Managers S.A., consumers domiciled in Germany have the right to participate in a collective redress action in the sense of a model declaratory action pursuant to §606 ZPO (German Code of Civil Procedure).