

MAINFIRST



LIST OF APPROVED BROKERS

MAINFIRST AFFILIATED
FUND MANAGERS S.A.

02.2021

List of approved Brokers

Broker name	LEI
ABN AMRO Bank N.V.	BFXS5XCH7N0Y05NIXW11
ARQAAM CAPITAL LIMITED	213800RBM8VDZ3I3VP26
Atlantic Equities Deutschland GmbH	549300PER2ICSDPP2R94
ATLANTIC EQUITIES LLP	213800327M9B4D9S1553
Australia and New Zealand Banking Group Limited	JHE42UYNNWWTJB8YTTU19
B. Metzler seel. Sohn & Co. Kommanditgesellschaft auf Aktien	529900IOG1ENLW4SUU53
Baader Bank Aktiengesellschaft	529900JFOPPEDUR61H13
Balanx Capital International, Inc	254900FR0EB3FHWW3O67
BANCA IMI S.P.A. IN FORMA ABBREVIATA ANCHE SOLO IMI S.P.A.	QV4Q8OGJ7OA6PA8SCM14
BANCO BILBAO VIZCAYA ARGENTARIA SOCIEDAD ANONIMA	K8MS7FD7N5Z2WQ51AZ71
Banco Santander, S.A.	5493006QMFDDMYWIAM13
Banque Pictet & Cie S.A.	4LCYDN74UCFU5VPM4774
BofA Securities, Inc. "BofAS"	549300HN4UKV1E2R3U73
BofA Securities Europe SA	549300FH0WJAPEHTIQ77
Bankhaus Lampe KG	529900ODBMTZXAN1B073
Barclays Bank Ireland PLC	2G5BKIC2CB69PRJH1W31
Bayerische Landesbank	VDYMYTQGZ6DU0912C88
BCP Securities, LLC	2549006Q2SCXK1988H68
BCS Prime Brokerage Limited	213800UU8AHE2B6QUI26
BNP PARIBAS Deutschland (BNP hat die DAB übernommen)	R0MUWSFPU8MPRO8K5P83
BNP Paribas Securities Services SCA	549300WCGB70D06XZS54
Bradesco Securities	54930045S6RXCF5Q0W70
bridport & cie sa	213800VGFKO4K5IJUI20
BTG Pactual US Capital, LLC	549300EUEDL5GKQW8U35
BTIG LIMITED	213800YZXS7U4QZP6441
CACEIS BANK	96950023SCR9X9F3L662

Broker name	LEI
Canaccord Genuity LLC	WTX5X269IUOQ9YMI7R44
CANTOR FITZGERALD EUROPE	549300KM6VUHPKQLQX53
Carnegie Investment Bank AB	529900BR5NZNQZEVQ417
Citigroup AG	6TJCK1B7E7UTXP528Y04
CLSA Europe B.V.	3800DPWK1AXSAJZM30
COMMERZBANK Aktiengesellschaft	851WYGWLUQLFZBSYGB56
Commonwealth Bank of Australia	MSFSBD3QN1GSN7Q6C537
Cooperatieve Rabobank U.A.	DG3RU1DBUFHT4ZF9WN62
CREDIT AGRICOLE CORPORATE AND INVESTMENT BANK	1VUV7VQFKUOQSJ21A208
Credit Suisse AG	ANGGYXNX0JLX3X63JN86
Credit Suisse Securities (Europe) Limited	DL6FFRRLF74S01HE2M14
Credit Suisse Securities, Sociedad de Valores	959800TMGPWX7NYM4R72
Crossflow Financial Advisors GmbH	391200OECOXD95PA2E12
Danske Bank A/S	MAES062Z21O4RZ2U7M96
DBS Bank Ltd.	ATUEL7OJR5057F2PV266
DekaBank Deutsche Girozentrale	0W2PZJM8XOY22M4GG883
Deutsche Bank Aktiengesellschaft (Brüssel)	7LTWFZYICNSX8D621K86
Deutsche Bank Aktiengesellschaft (Frankfurt)	7LTWFZYICNSX8D621K86
Deutsche Postbank International S.A.	K7V3K4YZRNLVZAK8WA86
DNB Bank ASA	549300GKFG0RYRRQ1414
DRAGON CAPITAL (CYPRUS) LIMITED	213800A5US82UY9H485
DZ BANK AG Deutsche Zentral-Genossenschaftsbank, Frankfurt am Main	529900HNOAA1KXQJUQ27
DZ PRIVATBANK S.A.	SVY0KHTJZBP60K295346
Erste Group Bank AG	PQOH26KWDF7CG10L6792
Exane S.A.	969500UP76J52A9OXU27
Flow Traders B.V.	549300CLJI9XDH12XV51
futurum bank AG	529900WG7A1YR02QGB25
GFI Securities Ltd.	GUNTJCA81C7IHNBG1392
GMP SECURITIES, LLC	549300QXVHD3G589TO05
GOLDMAN SACHS INTERNATIONAL	W22LROWP2IHZNBB6K528
Goldman Sachs Bank Europe SE	8IBZUGJ7JPLH368JE346

Broker name	LEI
HAITONG INTERNATIONAL SECURITIES (UK) LIMITED	213800ETTMRC3T9OBN43
HAITONG BANK, S.A.	GDI8P8WHFH4PS5YTU851
Hamburger Sparkasse	529900F5KTT6ZUPA8N40
Hauck & Aufhäuser Privatbankiers Aktiengesellschaft	529900OOZP78CYPYF471
HSBC Continental Europe	F0HUI1NY1AZMJMD8LP67
HSBC TRINKAUS & BURKHARDT AG	JUNT405OW8OY5GN4DX16
ICBC Standard Bank PLC	F01VVKN4DRF2NWKQG283
ICF BANK AG Wertpapierhandelsbank	529900E89HA1145HB613
IMPERIAL CAPITAL (INTERNATIONAL) LLP	213800L9V84UL88WAV68
ING Bank N.V.	3TK20IVIUJ8J3ZU0QE75
Intesa Sanpaolo S.p.A. (vorher: Banca IMI)	2W8N8UUU78PMDQKZENC08
Itau BBA International PLC	5493009ADHYKU8V9P697
JANE STREET FINANCIAL LIMITED	549300ZHEHX8M31RP142
JEFFERIES INTERNATIONAL LIMITED	S5THZMDUJCTQZBTRVI98
Jefferies GmbH	5493004I3LZM39BWHQ75
Joh. Berenberg, Gossler & Co. KG	529900UC2OD7II24Z667
J.P. MORGAN AG	549300ZK53CNGEEI6A29
J.P. Morgan Dublin plc	549300Q5VVMOFZGJNF15
JSC "Sberbank CIB"	253400JYF26KUD0RLW67
KEPLER CHEUVREUX	9695005EOZG9X8IRJD84
Landesbank Baden-Württemberg	B81CK4ESI35472RHJ606
Landesbank Hessen-Thüringen Girozentrale	DIZES5CFO5K3I5R58746
Larrain Vial S.A. Corredora de Bolsa	54930031WU1KNWNY7T88
LIQUIDNET EUROPE LIMITED	213800ZIRB79BE5XQM68
MainFirst Bank Aktiengesellschaft (Stifel Europe Verkauf)	529900MC68RTGHKI4F05
MarketAxess Capital Limited	529900CTXON8S5AOCB70
MarketAxess NL B.V.	5299000UUYW66L5LT560
MEDIOBANCA SGR S.P.A.	5493002OBQ0J7OUIPM33
MITSUBISHI UFJ TRUST INTERNATIONAL LIMITED	213800ZXJI3S6VKHTX31
MIZUHO INTERNATIONAL PLC	213800HZ54TG54H2KV03
MORGAN STANLEY Europe SE	4PQUHN3JPFQFNF3BB653

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MUFG Securities (Europe) NV	54930050SE0SM7CM2G07
NatWest Markets N.V.	X3CZP3CK64YBHON1LE12
Nomura Financial Products Europe GmbH	5493002XYZZ0CGQ6CB58
Norddeutsche Landesbank -Girozentrale-	DSNHHQ2B9X5N6OUJ1236
Nordea Bank AB	6SCPQ280AIY8EP3XFW53
OCTO FINANCES SA	969500378YE4MLGK0898
ODDO BHF SCA	969500219DJHZ3449O66
Oppenheimer Europe Ltd	213800CH6IZ9J98PIS59
Pareto Securities AS	549300H0QDQHRJXRM779
Quirin Privatbank AG	5299004IU009FT2HTS78
RBC Capital Markets (Europe) GmbH	549300SXSTGQY3EA1B18
RBC Europe Limited	TXDSU46SXBWIGJ8G8E98
Redburn (France) SA	213800DXGTBVO5RLOC54
Renaissance Capital Limited	213800RZ3GCUXMBGYN59
REUSS PRIVATE AG	2138006B1AY18VCA6K15
Robert W. Baird & Co. Incorporated	549300772UJAHRD6LO53
Sanford C. Bernstein Ireland Limited	549300W30847BJ1LD502
SC Lowy Financial (HK) Limited	254900S5OKNBULX1O50
Scotiabank	5G6NVP4WADOI32VUUB17
Seaport Global Securities LLC	54930028D6D0G5RZJ888
The Seaport Group Europe LLC	2138003MLD2U3GEMHS70
SEB Skandinaviska Banken	549300OBW7CMVSEYXY30
Seminario y Cia SAB	254900BWIMJ4JS1FDK25
SMBC Nikko Capital Markets Europe GmbH	5493008794GM6YH5G766
SMBC NIKKO CAPITAL MARKETS LIMITED	G7WFA3G3MT5YHH8CHG81
SOCIETE GENERALE	O2RNE8IBXP4R0TD8PU41
STANDARD CHARTERED BANK	RILFO74KP1CM8P6PCT96
Stifel Financial Corp.	549300E5F07FE5GHJX84
STIFEL NICOLAUS EUROPE LIMITED	213800BVEFNZ8UYPKL03
StoneX Financial Ltd	549300AWF3TOHRYL7754
SÜDWESTBANK Aktiengesellschaft	529900871L4WM7TO8668

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Susquehanna International Securities Limited	635400IAV22ZOU1NFS89
Swedbank AB	M312WZV08Y7LYUC71685
Swiss Investment Corporation Limited	BFXS5XCH7N0Y05NIXW11
TD Global Finance	213800RBM8VDZ3I3VP26
UBS AG	213800327M9B4D9S1553
UBS Switzerland AG	JHE42UYNWWTJB8YTTU19
UniCredit Bank AG	529900IOG1ENLW4SUU53
VTB CAPITAL PLC	529900JFOPPEDUR61H13
Wells Fargo Securities Europe SA	254900FR0EB3FHWW3O67
Zürcher Kantonalbank	K8MS7FD7N5Z2WQ51AZ71

(Status 01.02.2021, the list can be adapted from time to time and is available at the registered office of the company or/and may be obtained free of charge)